

Practitioner's Docket No. 13189.134

CHAPTER II

Preliminary Classification:

Proposed Class: Unknown

Subclass: Unknown

TRANSMITTAL LETTER  
TO THE UNITED STATES ELECTED OFFICE (EO/US)  
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/EP98/08475	28 December 1998 (28.12.98)	28 December 1998 (28.12.98)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: METHODS AND DEVICES FOR CODING OR DECODING AN AUDIO SIGNAL OR BIT STREAM

APPLICANT(S): Sperschneider, Ralph; Dietz, Martin; Ehret, Andreas; Brandenburg, Karlheinz; Gerhaeuser, Heinz; Nowbakht-Irani, Ali; Lauber, Pierre; and Bitto, Roland

ATTENTION: EO/US  
Box PCT  
Assistant Commissioner for Patents  
Washington DC 20231

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. Section 371:
  - a. This express request to immediately begin national examination procedures (35 U.S.C. Section 371(f)).
  - b. The U.S. National Fee (35 U.S.C. Section 371(c)(1)) and other fees (37 C.F.R. Section 1.492) as indicated below:

CERTIFICATION UNDER 37 C.F.R. SECTION 1.10\*

(Express Mail label number is **mandatory**.)

(Express Mail certification is optional.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date 6/27/01, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL895408810US, addressed to Attention: EO/US, Box PCT, Assistant Commissioner for Patents, Washington, DC 20231.

Cheryl Martinez

(type or print name of person mailing paper)

*Cheryl Martinez*  
Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. Section 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

09869401-090501

## 2. Fees

CLAIMS FEE*	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
BASIC FEE	TOTAL CLAIMS	26 -20 =	6	x \$18.00 =	\$108.00
	INDEPENDENT CLAIMS	4 - 3 =	1	x \$80.00 =	\$80.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00				\$0.00
	U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where no international preliminary examination fee as set forth in Section 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in Section 1.445(a)(2) to the U.S. PTO: where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 C.F.R. Section 1.492(a)(5)) ..... \$860.00				\$860.00
	Total of above Calculations				= \$1,048.00
SMALL ENTITY	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed. (note 37 CFR Sections 1.9, 1.27, 1.28)				- \$0.00
	Subtotal				\$1,048.00
	Total National Fee				\$1,048.00
	Fee for recording the enclosed assignment document \$40.00 (37 C.F.R. Section 1.21(h)). See attached "ASSIGNMENT COVER SHEET".				\$0.00
TOTAL	Total Fees enclosed				\$1,048.00

\*See attached Preliminary Amendment Reducing the Number of Claims.

Please charge Account No. 50-1848 in the amount of \$1,048.00.

A duplicate copy of this sheet is enclosed.

- A copy of the International application as filed (35 U.S.C. Section 371(c)(2)) is transmitted herewith.
- A translation of the International application into the English language (35 U.S.C. Section 371(c)(2)) is transmitted herewith.
- A copy of the International Examination Report (PCT/IPEA/409) is transmitted herewith.
- Annexes to the International Preliminary Examination Report are transmitted herewith.
- A translation of the annexes to the International Preliminary Examination Report is transmitted herewith.

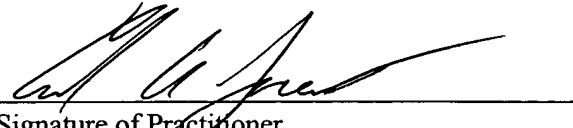
8. An oath or declaration of the inventor (35 U.S.C. Section 371(c)(4)) complying with 35 U.S.C. Section 115 will follow.
- II. Other document(s) or information included:
9. An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a) is transmitted herewith.
10. An Information Disclosure Statement under 37 C.F.R. Sections 1.97 and 1.98 is transmitted herewith. Also transmitted herewith are Forms PTO/SB/08A and 08B, a concise explanation, and copies of citations listed.
11. Additional documents:
  - a. International Publication No. WO 00/39933 (front page only)
  - b. First Preliminary Amendment (37 C.F.R. Section 1.121)
  - c. Annotated Copy Of Final Version Of PCT/EP98/08475
  - d. Express Mail Certificate
  - e. Return Postcard
12. The above items are being transmitted before 30 months from any claimed priority date.

#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No. 50-1848:

37 C.F.R. Section 1.492(a)(1), (2), (3), and (4) (filing fees)  
 37 C.F.R. Section 1.492(b), (c), and (d) (presentation of extra claims)  
 37 C.F.R. Section 1.17 (application processing fees)  
 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))  
 37 C.F.R. Section 1.492(e) and (f) (surcharge fees for filing the declaration and/or filing an English translation of an International Application later than 20 months after the priority date).

Date: June 26, 2001  
 Reg. No.: 28,494  
 Tel. No.: 303-379-1114  
 Fax No.: 303-379-1155

  
 Signature of Practitioner  
 Carl A. Forest  
 Customer No.: 24283

09869401-090501

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sperschneider, Ralph; Dietz, Martin; Ehret, Andreas; Brandenburg, Karlheinz;  
Gerhaeuser, Heinz; Nowbakht-Irani, Ali; Lauber, Pierre; and Bitto, Roland  
Application No.: Applied For Group No.: Unknown  
Filed: Herewith Examiner: Unknown  
For: METHODS AND DEVICES FOR CODING OR DECODING AN AUDIO SIGNAL OR BIT  
STREAM

Attention: EO/US  
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Assistant Commissioner for Patents  
Washington, DC 20231

EXPRESS MAIL CERTIFICATE

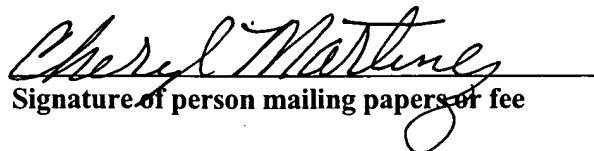
"Express Mail" Label Number: EL895408810US  
Date of Deposit: 06/27/2001

I hereby state that the following attached papers or fee:

1. Transmittal Letter To The United States Elected Office (EO/US) (~~3 pages~~) (2 for a total of 6 pages)
2. First Preliminary Amendment (2 pages)
3. Final version of PCT/EP98/08475 for the prosecution at the USPTO to be filed as first preliminary amendment (44 pages)
4. Annotated copy of Final version of PCT/EP98/08475 (47 pages)
5. Copy of original application (46 pages)
6. Translation of PCT Application PCT/EP98/08475 as originally filed (41 pages)
7. Copy of International Preliminary Examination Report with Amendments (20 pages)
8. Translation of Amendments under Art. 34 PCT as attached to the IPER (13 pages)
9. Copy of International Search Report (6 pages)
10. IDS Forms 08A and 08B (2 pages)
11. Concise Explanation Of Cited Non-English References (1 page)
12. IDS References (3 foreign and 1 other)
13. Copy of front page of International Publication No. WO 00/39933 (1 page)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10, on the date indicated above and is addressed to Attention: EO/US, Box PCT, Assistant Commissioner for Patents, Washington, DC 20231.

Cheryl Martinez

  
Signature of person mailing papers or fee

National Phase of PCT/EP98/08475 in U.S.A.

Title: Methods and Devices for Coding or Decoding an Audio  
Signal or Bit Stream

Applicants: SPERSCHNEIDER Ralph, et al.

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Translation of PCT Application PCT/EP98/08475  
as originally filed

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